1. Classification and Relevance
   • Classes of Materials
   • Interdisciplinary Connections to Other Science Branches

2. Structure of Solid State Materials
   • Principle Concepts and Classifications
   • Types of Bonding and Influences upon Structure
   • Ideal Crystals
   • Real Crystals
   • Phases and Phase Transitions
   • Phase Diagrams

Materials are like people – the imperfections make them interesting!

God created the solids, but evil the surfaces.
(Wolfgang Pauli)
Literature

Solid State Chemistry
• A.R. West, Grundlagen der Festkörperchemie, VCH Verlagsgesellschaft 1992
• L. Smart, E. Moore, Einführung in die Festkörperchemie, Vieweg 1995

Structural Chemistry
• U. Müller, Anorganische Strukturchemie, Teubner 1991
• R.C. Evans, Einführung in die Kristallchemie, deGruyter 1976

Material Science
• D.R. Askeland, Materialwissenschaften: Grundlagen, Übungen, Lösungen, Spektrum Akademischer Verlag 1996

Materials
• H. Briehl, Chemie der Werkstoffe, B.G. Teubner Verlagsgesellschaft 1995
• E. Roos, K. Maile, Werkstoffkunde für Ingenieure, Springer-Verlag 2002
1. Classification and Relevance

Examples for Research on New Materials

(Ga,Al,In)N
- Blue emitting diodes
- High-mobility-transistors
- Sensors

(Zn,Cd,Mg)O
- UV/blue emitting light sources
- Spintronic
- Polariton laser
- Nanoparticle as emulsion additive

Nitrides and oxynitrides, e.g.
TaO$_x$N$_y$ and (Ba,Sr,Ca)$_2$Si$_5$N$_8$:Eu
- Yellow and red pigments
- Phosphors for blue LEDs
1. Classification and Relevance

Examples for Research on New Materials

Starting point: Crystal structure and stability
- Inorganic Crystal Structure Database (ICSD): 187000 Entrees
- Minerals (Mineral Inspired Approach): About 4000 minerals
- Solid state chemistry: Search & description of new crystal structure

Protoypes
- About 9100 materials for engineering
- Further development by doping, solid solutions, process optimisation, Simulations and so on
- Alignment to novel application areas, e.g. TiO$_2$ (from colour pigments to catalysts and Graetzel cells)

Classification
- By the structure type: Garnet, Magnetoplumbite, Monazite, Olivine, Perovskite, Wurtzite
- By the chemical nature: Aluminatas, borates, carbonates, silicates, sulfates, sulphides
- By the function: Dielectrics, Electrics, Insulators, catalysts, magnetics, optics
## 1. Classification and Relevance

### Material Classes: “Electrics”

<table>
<thead>
<tr>
<th>Material class (property)</th>
<th>Compound</th>
<th>(Field of ) Application</th>
</tr>
</thead>
<tbody>
<tr>
<td>Metallic conductors</td>
<td>Cu, Ag, Au</td>
<td>Electronics</td>
</tr>
<tr>
<td>Low-dimensional metallic conductors</td>
<td>$K_2[Pt(CN)_4]_4$, $(SN)_x$</td>
<td>Electrodes</td>
</tr>
<tr>
<td></td>
<td>Hexagonal $C_x$ (graphite)</td>
<td></td>
</tr>
<tr>
<td>Semi-conductors</td>
<td>Si, Ge, GaAs</td>
<td>Diodes, transistors, ICs</td>
</tr>
<tr>
<td></td>
<td>Si, CuInSe$_2$</td>
<td>Solar panels (photovoltaic)</td>
</tr>
<tr>
<td></td>
<td>GaAs, AlInGaP, AlInGaN, ZnTe</td>
<td>LEDs, laser diodes, photo diodes</td>
</tr>
<tr>
<td></td>
<td>$Li_{0.05}Ni_{0.95}O$</td>
<td>Thermistors</td>
</tr>
<tr>
<td></td>
<td>Se</td>
<td>Photo conductors</td>
</tr>
<tr>
<td></td>
<td>$SnO_2$:In</td>
<td>Transparent electrodes</td>
</tr>
<tr>
<td>Thermo-electric materials</td>
<td>$Bi_2Te_3$, PbTe</td>
<td>Thermo-electric cooler</td>
</tr>
<tr>
<td>Superconductors</td>
<td>$Nb_3Sn$</td>
<td>High-power magnets</td>
</tr>
<tr>
<td></td>
<td>$YBaCu_3O_7$</td>
<td>Electric transport without resistance</td>
</tr>
<tr>
<td>Ion conductors</td>
<td>$NaAl_{11}O_{17}$ ($\beta$-alumina), $Li_3N$</td>
<td>Long-life batteries, accumulator</td>
</tr>
<tr>
<td></td>
<td>$ZrO_2$:Y</td>
<td>$O_2$-sensors (Lambda-probe)</td>
</tr>
</tbody>
</table>
## 1. Classification and Relevance

### Materials Classes: “Dielectrics”, “Magnetics” and “Opticals”

<table>
<thead>
<tr>
<th>Material class (property)</th>
<th>Compound</th>
<th>(Field of) Application</th>
</tr>
</thead>
<tbody>
<tr>
<td>Piezo electrics</td>
<td>Pb(Ti$_{1-x}$Zrx)O$_3$ (PZT)</td>
<td>Electro acoustic: microphones, speaker</td>
</tr>
<tr>
<td>Pyro electrics</td>
<td>ZnO</td>
<td>IR-detectors</td>
</tr>
<tr>
<td>Ferro electrics</td>
<td>BaTiO$_3$, PbTiO$_3$, SiO$_2$</td>
<td>Compensators, sensors</td>
</tr>
<tr>
<td>Ferro and Ferri magnets</td>
<td>Nd$<em>2$Fe$</em>{14}$B, BaFe$<em>{12}$O$</em>{19}$, SmCo$_5$</td>
<td>Permanent magnets</td>
</tr>
<tr>
<td></td>
<td>Fe, γ-Fe$_2$O$_3$, CrO$_2$</td>
<td>Audio- and video tapes</td>
</tr>
<tr>
<td></td>
<td>MFe$_2$O$_4$ (Ferrite), ZnFe$_2$O$_4$</td>
<td>Engines, transformers</td>
</tr>
<tr>
<td></td>
<td>Y$_3$Fe$<em>5$O$</em>{12}$ (YIG)</td>
<td>Information storage</td>
</tr>
<tr>
<td></td>
<td>FeBO$_3$</td>
<td>Magneto optics: modulation of light</td>
</tr>
<tr>
<td>Coloured pigments</td>
<td>CoAl$_2$O$_4$, CdS, Fe$_2$O$_3$, TiO$_2$</td>
<td>Colour filter, dispersion paint</td>
</tr>
<tr>
<td>Photoluminescence pigments – UV</td>
<td>BaMgAl$<em>{10}$O$</em>{17}$:Eu, Y$_2$O$_3$:Eu</td>
<td>Phosphorescence lamps</td>
</tr>
<tr>
<td>– blue</td>
<td>Y$_3$Al$<em>5$O$</em>{12}$:Ce, Sr$_2$Si$_5$N$_8$:Eu</td>
<td>White pcLEDs</td>
</tr>
<tr>
<td>Cathodoluminescence pigments</td>
<td>ZnS:Ag, ZnS:Cu, Y$_2$O$_2$S:Eu</td>
<td>Cathode ray tube</td>
</tr>
<tr>
<td>(Scintillators)</td>
<td>Bi$_4$Ge$<em>3$O$</em>{12}$, Lu$_2$SiO$_5$:Ce, Gd$_2$SiO$_5$:Ce, Gd$_2$O$_2$S:Pr, Ce,F</td>
<td>Positron emission tomography</td>
</tr>
<tr>
<td>Stimulated light emission</td>
<td>Al$_2$O$_3$:Cr, Al$_2$O$_3$:Ti, Y$_3$Al$<em>5$O$</em>{12}$:Nd, Lu$_3$Al$<em>5$O$</em>{12}$:Nd, LiYF$_4$:Pr, YVO$_4$:Nd</td>
<td>Laser</td>
</tr>
</tbody>
</table>
1. Classification and Relevance

Interconnections to Other Sciences and Engineering

- Mineralogy
- Geology
- Physics
- Biology
- Chemistry
- Pharmacy
- Medicine
- Electrical Engineering
- Mechanical Engineering
- Chemical Engineering
1. Classification and Relevance

Linkage to Chemistry

Atom / Ions
Electron configuration
Atomic and ionic radii
EN, EA, IE

⇒ Chemical bonding
Ionic charge density
Covalent part
Delocalisation of e⁻

Inorganic Chemistry
Solid State Chemistry

Atomic and electronic structure
Ideal crystals
Real crystals
Amorphous solids

Types of reactions
Diffusion
Ionic mobility + structure
Phase transitions

⇒ Preparation
Solid state reactions
Transport reactions
Crystal growth

Material Science

Properties
Isotropic - Anisotropic
Polarisation effects
Transport properties

Matter → Material
## 1. Classification and Relevance

### Comparison Between Molecular and Solid State Chemistry

<table>
<thead>
<tr>
<th></th>
<th>Molecular Chemistry</th>
<th>Solid State Chemistry</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Stoichiometry</strong></td>
<td>Daltonides: defined composition</td>
<td>Berthollides: non-stoichiometric, since defects, formation of solid solutions etc. are common</td>
</tr>
<tr>
<td><strong>Bonding</strong></td>
<td>Only covalent: directed, short range, localised electrons MO-description, structure prediction</td>
<td>Covalent, ionic, metallic: undirected, long range, band structure, complex structure prediction</td>
</tr>
<tr>
<td><strong>Isomerism</strong></td>
<td>Only topologically</td>
<td>Polymorphism, phase transitions</td>
</tr>
<tr>
<td><strong>Syntheses</strong></td>
<td>Endothermic chemistry: selective con- and destruction, kinetically controlled</td>
<td>Exothermic chemistry: diffusion, thermodynamically controlled</td>
</tr>
<tr>
<td><strong>Purification</strong></td>
<td>Volatility facilitates separation</td>
<td>Insoluble, no evaporation without decomposition</td>
</tr>
<tr>
<td><strong>Characterisation</strong></td>
<td>IR, MS, NMR</td>
<td>XRD, XRF</td>
</tr>
<tr>
<td><strong>Properties</strong></td>
<td>(No) cooperative interactions</td>
<td>Cooperative interactions</td>
</tr>
<tr>
<td></td>
<td>Structure-effect-correlations</td>
<td>Structure-properties-correlations</td>
</tr>
<tr>
<td></td>
<td>→ Biochemistry, medicine</td>
<td>→ Material science</td>
</tr>
</tbody>
</table>

Fundamentals of Material Science
Prof. Dr. T. Jüstel, FH Münster

Slide 9
2. Structure of Solid State Materials

Classification – Crystalline vs. Amorphous Solids

Crystalline Solids

Ideal crystals Mathematical, spatial, periodical abstraction of real crystals
Real crystals Ideal crystal + defects + impurities (dopants)
Single crystals Crystal, that exhibits a homogenous and unified lattice

In general: Elements (E) and compounds (AB, AB₂, etc...) in their solid state

Amorphous Solids

Only near-order, no long-range-order, i.e. no regular diffraction of X-rays

Exp.: Glasses - inorganic (quartz glass)
- organic (perspex PMMA)
## 2. Structure of Solid State Materials

### Classification – Crystal Lattice

<table>
<thead>
<tr>
<th></th>
<th>Halides</th>
<th>Oxides</th>
<th>Nitrides</th>
<th>Sulphides</th>
</tr>
</thead>
<tbody>
<tr>
<td>Binary</td>
<td>MX</td>
<td>M₂O</td>
<td>M₃N</td>
<td>M₂S</td>
</tr>
<tr>
<td></td>
<td>MX₂</td>
<td>M₂O₂</td>
<td>M₃N₂</td>
<td>MS</td>
</tr>
<tr>
<td></td>
<td>MX₃</td>
<td>M₂O₃</td>
<td>M₃N₃</td>
<td>M₂S₃</td>
</tr>
<tr>
<td></td>
<td>MX₄</td>
<td>M₂O₄</td>
<td>M₃N₄</td>
<td>M₂S₅</td>
</tr>
<tr>
<td>Ternary</td>
<td>M₁M₂X₃</td>
<td>M₁₂M₂O₂</td>
<td>M¹M²N₂</td>
<td>M¹₂M²S₂</td>
</tr>
<tr>
<td></td>
<td>M₁M₂X₄</td>
<td>M₁₂M₂O₃</td>
<td>M¹M²N₅</td>
<td>M¹M₂S₃</td>
</tr>
<tr>
<td></td>
<td>M₁M₂X₅</td>
<td>M¹M₂O₄</td>
<td>M¹₂M₂N₈</td>
<td>M¹M₂S₄</td>
</tr>
<tr>
<td></td>
<td>M₁M₂X₆</td>
<td>M¹M₂O₇</td>
<td>M¹₂M₂N₁₁</td>
<td>M¹₂M₂S₆</td>
</tr>
<tr>
<td>Quaternary</td>
<td>M₁M₂M₃X₆</td>
<td>M¹M₂M³₃O₆</td>
<td>M¹M²M³₃N₃</td>
<td>M¹₂M²M³S₄</td>
</tr>
<tr>
<td></td>
<td>M¹M₂M³₅O₁₀</td>
<td>M¹M²M³₄N₇</td>
<td>M¹M²M₃₄N₇</td>
<td>M¹M²M₃₅S₄</td>
</tr>
<tr>
<td></td>
<td>M¹M₂M³₁₀O₁₇</td>
<td>M¹M²M₃₆N₁₁</td>
<td>M¹M²M₃₆N₁₁</td>
<td>M¹M²M₃₆S₅</td>
</tr>
<tr>
<td></td>
<td>M¹M₂M³₁₁O₁₉</td>
<td>M¹M²M₃₁₁N₂₃</td>
<td>M¹M²M₃₁₁N₂₃</td>
<td>M¹M²M₃₁₁S₅</td>
</tr>
</tbody>
</table>
## 2. Structure of Solid State Materials

### Classification – Survey of the Different Types of Compounds

<table>
<thead>
<tr>
<th>Group</th>
<th>Type of compound</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>B</td>
<td>AB</td>
<td>B1: NaCl, B2: CsCl, B12: BN</td>
</tr>
<tr>
<td>C</td>
<td>AB₂</td>
<td>C4: TiO₂, C6: CdI₂</td>
</tr>
<tr>
<td>D</td>
<td>AₘBₙ</td>
<td>D1: NH₃</td>
</tr>
<tr>
<td>E</td>
<td>More than 2 types of atoms without connecting building units</td>
<td>PbFCl</td>
</tr>
<tr>
<td>F</td>
<td>Building units consisting of 2 or 3 atoms</td>
<td>F1: KCN</td>
</tr>
<tr>
<td>G</td>
<td>Building units consisting of 4 atoms</td>
<td>G1: MgCO₃</td>
</tr>
<tr>
<td>H</td>
<td>Building units consisting of 5 atoms</td>
<td>H2: BaSO₄</td>
</tr>
<tr>
<td>L</td>
<td>Alloys</td>
<td>CuAu</td>
</tr>
<tr>
<td>M</td>
<td>Mixed crystals</td>
<td>(Y,Eu)₂O₃</td>
</tr>
<tr>
<td>O</td>
<td>Organic compounds</td>
<td>O1: CH₄</td>
</tr>
<tr>
<td>S</td>
<td>Silicates</td>
<td>Mg₂SiO₄</td>
</tr>
</tbody>
</table>
Classical – Mixed Crystals

Intercalation mixed crystals
Compounds of at least two elements, whereas the smaller one - mostly a non-metallic component - occupies interstitial sites
Exp.: FeC, WC, Ti$_2$H, Fe$_2$N

Substitutional mixed crystals
Mixed crystal of at least two elements forming a joint lattice, where the second element occupies regular lattice positions of the first component. Driving force is entropy, overcompensating mixing enthalpy
Exp.: La$_{1-x}$Ce$_x$PO$_4$, Ca$_{1-x}$Sr$_x$S, K$_{1-x}$Rb$_x$, Mo$_{1-x}$W$_x$

Formation of complete solid solutions only, if
1. Both elements/compounds crystallise in the same type of lattice (isotypic)
   \[ a_{AB} = a_A(1-x_B) + a_Bx_B \] with \( a = \) lattice constant
2. The difference in atom/ionic radii is smaller than 15% (room temperature) or 20% (high temperatures)
3. Both atoms/ions possess a similar valence and electronegativity
2. Structure of Solid State Materials

Classification – Substitutional Mixed Crystals

Consequences

• Formation of mixed crystals is more likely to occur, if cations and not anions are substituted

• If the two borderline cases crystallise in different structures, mixed crystals will occur only to a certain extent

\[
\begin{align*}
\text{Mg}_2\text{SiO}_4 & \quad \text{Forsterite} & \quad \text{Mg}_{2-x}\text{Zn}_x\text{SiO}_4 & \quad x < 0.4 \\
\text{Zn}_2\text{SiO}_4 & \quad \text{Willemite} & \quad \text{Zn}_{2-x}\text{Mg}_x\text{SiO}_4 & \quad x < 0.4 \\
\end{align*}
\]

• If there are different valences, the resulting charge must be compensated

\[
\text{Ca}^{II}_3\text{Al}_2\text{Si}^{IV}_3\text{O}_{12} \rightarrow (\text{Ca}^{II}_{1-a}\text{Y}^{III}_a)_3\text{Al}_2(\text{Si}^{IV}_{1-a}\text{Al}^{III}_a)_3\text{O}_{12} \rightarrow \text{Y}^{III}_3\text{Al}_2\text{Al}^{III}_3\text{O}_{12}
\]

• Compounds forming complete solid solutions are difficult to gain in their pure borderline stoichiometry

⇒ compounds of lanthanides, such as LnPO₄ (monazite, xenotim) or Ln₂O₃ (bixbyite)

Notation

<table>
<thead>
<tr>
<th>Chemistry</th>
<th>Material sci.</th>
<th>Laser physics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Zn₂₋ₓMnₓSiO₄</td>
<td>(Zn,Mn)₂SiO₄</td>
<td>Zn₂SiO₄: Mn</td>
</tr>
<tr>
<td>La₁₋ₓ₋ₓCeₓTbₓPO₄</td>
<td>(La,Ce,Tb)PO₄</td>
<td>LaPO₄: Ce,Tb</td>
</tr>
<tr>
<td>Y₃₋ₓNdₓAl₅O₁₂</td>
<td>(Y,Nd)₃Al₅O₁₂</td>
<td>Y₃Al₅O₁₂: Nd</td>
</tr>
</tbody>
</table>
# 2. Structure of Solid State Materials

## Types of Bonding and Structure Defining Parameters

<table>
<thead>
<tr>
<th>Bonding character</th>
<th>Covalent</th>
<th>Ionic</th>
<th>Metallic</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Sigma EN$</td>
<td>Large</td>
<td>Medium</td>
<td>Small</td>
</tr>
<tr>
<td>$\Delta EN$</td>
<td>Small</td>
<td>Large</td>
<td>Small</td>
</tr>
<tr>
<td>Energy gain</td>
<td>LCAO (per 2 AOs)</td>
<td>IE, EA, coulomb</td>
<td>LCAO (all AOs)</td>
</tr>
<tr>
<td>Nature of bond</td>
<td>Directed</td>
<td>Undirected</td>
<td>Undirected</td>
</tr>
<tr>
<td>Reach of bond</td>
<td>Short</td>
<td>Medium</td>
<td>Far</td>
</tr>
<tr>
<td>Coordination number</td>
<td>1 - 4</td>
<td>4 - 8</td>
<td>8 – 24</td>
</tr>
<tr>
<td>Radii</td>
<td>Covalent single bond radii</td>
<td>Ionic radii</td>
<td>Metallic radii</td>
</tr>
<tr>
<td>Structural concept</td>
<td>VSEPR</td>
<td>Close packing of anions with distinct voids</td>
<td>Close packing</td>
</tr>
<tr>
<td>Properties of the 3-dim. material</td>
<td>Very hard insulators/ semi-conductors</td>
<td>Hard, brittle insulators</td>
<td>Ductile conductors</td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystals – Metallic Structures

Closely packed layer

Hexagonal close packing (h.c.p.)

Cubic close packing (c.c.p.)
2. Structure of Solid State Materials

Ideal Crystals – Metallic Structures

Hexagonal close packing
(h.c.p.)

Cubic close packing
(c.c.p.)
## 2. Structure of Solid State Materials

### Ideal Crystals – Metallic Structures

<table>
<thead>
<tr>
<th></th>
<th>Spatial occupation</th>
<th>Coordination number</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>c.c.P.</td>
<td>74%</td>
<td>12</td>
<td>Ca, Sr, Al, Ni, Cu, Rh, Pd, Ag</td>
</tr>
<tr>
<td>h.c.P.</td>
<td>74%</td>
<td>12</td>
<td>Be, Mg, Sc, Ti, Co, Zn, Y, Zr</td>
</tr>
<tr>
<td>cubic body centred</td>
<td>68%</td>
<td>8 + 6</td>
<td>Alkali metals, V, Cr, Fe, Nb, Mo, Ta, W</td>
</tr>
<tr>
<td>cubic primitive</td>
<td>52%</td>
<td>6</td>
<td>Po</td>
</tr>
<tr>
<td>diamond</td>
<td>34%</td>
<td>4</td>
<td>C, Si, Ge</td>
</tr>
</tbody>
</table>

**Cubic body centred**

**Cubic primitive**

**Spatial occupation SO**

\[
SO = \frac{4}{3} \pi r^3 \cdot \left(\frac{Z}{V}\right)
\]

- \( r = \) radius of the spheres
- \( Z = \) number of spheres per volume
## 2. Structure of Solid State Materials

### Ideal Crystals – Metallic Structures

<table>
<thead>
<tr>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
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</thead>
<tbody>
<tr>
<td>Li</td>
<td>Be</td>
<td>B</td>
<td>C</td>
<td>N</td>
<td>O</td>
<td>F</td>
<td>Ne</td>
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<tr>
<td>Na</td>
<td>Mg</td>
<td>Al</td>
<td>Si</td>
<td>P</td>
<td>S</td>
<td>Cl</td>
<td>Ar</td>
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<td>K</td>
<td>Ca</td>
<td>Ti</td>
<td>V</td>
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<td>Mn</td>
<td>Fe</td>
<td>Co</td>
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<td>Rb</td>
<td>Sr</td>
<td>Zr</td>
<td>Nb</td>
<td>Mo</td>
<td>Tc</td>
<td>Ru</td>
<td>Rh</td>
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<tr>
<td>Cs</td>
<td>Ba</td>
<td>Hf</td>
<td>Ta</td>
<td>W</td>
<td>Re</td>
<td>Os</td>
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<td>Fr</td>
<td>Ra</td>
<td>Ac</td>
<td>Rf</td>
<td>Db</td>
<td>Sg</td>
<td>Bh</td>
<td>Hs</td>
</tr>
</tbody>
</table>

- **Hexagonal close packing**
- **Cubic close packing**
- **Other stacking variations of close packings**

### Cubic body centred packing

(*high pressure modification*)

**Structure type in its own right**
## 2. Structure of Solid State Materials

### Ideal Crystals – Symmetry

Macroscopic crystals can be classified by symmetry elements

<table>
<thead>
<tr>
<th>Symmetry element</th>
<th>Symbol (Hermann-Mauguin)</th>
<th>Symmetry operation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identity</td>
<td>E</td>
<td>x, y, z → x, y, z</td>
</tr>
<tr>
<td>Rotation axis</td>
<td>X</td>
<td>Rotation by</td>
</tr>
<tr>
<td>one-fold</td>
<td>1</td>
<td>360°</td>
</tr>
<tr>
<td>two-fold</td>
<td>2</td>
<td>180°</td>
</tr>
<tr>
<td>three-fold</td>
<td>3</td>
<td>120°</td>
</tr>
<tr>
<td>four-fold</td>
<td>4</td>
<td>90°</td>
</tr>
<tr>
<td>six-fold</td>
<td>6</td>
<td>60°</td>
</tr>
<tr>
<td>Inversion centre</td>
<td>-1 (= i)</td>
<td>Mirroring through a point</td>
</tr>
<tr>
<td>Mirror plane</td>
<td>-2 (= m)</td>
<td>Mirroring along mirror plane</td>
</tr>
<tr>
<td>Rotation inversion axis</td>
<td>-X</td>
<td></td>
</tr>
<tr>
<td>Three-, four-, six-fold axis</td>
<td>-3, -4, -6</td>
<td>Rotation by 360/n° and inversion</td>
</tr>
</tbody>
</table>

The possible combinations of these symmetry operations results in 32 crystal classes (crystallographic point groups), which can be categorized into 7 crystal systems
2. Structure of Solid State Materials

**Ideal Crystals – Symmetry**

Symmetry element: Rotation axis
Symmetry operation: Rotation

**Examples (molecules)**

- H$_2$O exhibits a **two-fold axis**
  
  $\frac{360^\circ}{2} = 180^\circ$
  
  After rotation by $180^\circ$ the atoms appear at the same position as before

- NH$_3$ exhibits a **three-fold axis**
  
  $\frac{360^\circ}{3} = 120^\circ$
  
  Atoms appear at their given position after rotation by $120^\circ$ and $240^\circ$

- XeF$_4$ exhibits a **four-fold axis**
  
  $\frac{360^\circ}{4} = 90^\circ$
  
  Atoms appear at their given position after rotation by $90^\circ$, $180^\circ$ and $270^\circ
2. Structure of Solid State Materials

Ideal Crystals – Symmetry

Symmetry element: Mirror plane
Symmetry operation: Mirroring

Examples

- H₂O
  2 mirror planes, perpendicular to one another:
  \( \sigma_v \) and \( \sigma_v' \)
  including main rotation axis (\( C_2 \)-axis in this case)

- Tetrachloro platinum anion \([\text{PtCl}_4]^{2-}\)
  1 mirror plane \( \sigma_h \) perpendicular to main rotation axis (\( C_4 \)-axis in this case)
2. Structure of Solid State Materials

Ideal Crystals – Symmetry

Symmetry element: Point
Symmetry operation: Inversion (mirroring through a point)

Examples

a) Octahedra possess a inversion centre
   e.g. $[\text{CoF}_6]^{3-}$

b) Tetrahedra possess no inversion centre
   e.g. $[\text{BF}_4]^{-}$
### 2. Structure of Solid State Materials

#### Ideal Crystal – Symmetry (Basic Object with Arbitrary Symmetry)

<table>
<thead>
<tr>
<th>Crystal system</th>
<th>Crystal classes</th>
<th>Point groups</th>
</tr>
</thead>
<tbody>
<tr>
<td>Triclinic</td>
<td>1, -1</td>
<td>C₁, Cᵢ</td>
</tr>
<tr>
<td>Monoclinic</td>
<td>2, m, 2/m</td>
<td>C₂, Cₛ, C₂h</td>
</tr>
<tr>
<td>Orthorhombic</td>
<td>2 2 2, m m 2, m m m</td>
<td>D₂, C₂ᵥ, D₂h</td>
</tr>
<tr>
<td>Tetragonal</td>
<td>4, -4, 4/m, 4 2 2</td>
<td>C₄, S₄, C₄h, D₄</td>
</tr>
<tr>
<td></td>
<td>4 m m, 4 m, 4/m m m</td>
<td>C₄ᵥ, D₂d, D₄h</td>
</tr>
<tr>
<td>Trigonal</td>
<td>3, -3, 3 2, 3 m, -3 m</td>
<td>C₃, C₃i, D₃, C₃ᵥ, D₃d</td>
</tr>
<tr>
<td>Hexagonal</td>
<td>6, -6, 6/m, 6 2 2</td>
<td>C₆, C₆h, C₆h</td>
</tr>
<tr>
<td></td>
<td>6 m m, -6 m 2, 6/m m m</td>
<td>D₆, C₆ᵥ, D₃h, D₆h</td>
</tr>
<tr>
<td>Cubic</td>
<td>2 3, m 3, 4 3 2, -4 3 m, m 3 m</td>
<td>T, Tₜ, O, Tₜd, Oₗ</td>
</tr>
</tbody>
</table>

All macroscopic crystals (convex polyhedra) can be subdivided into 32 crystal classes or point groups, respectively.
Ideal Crystals – Unit Cell

The unit cell is unambiguously defined by
- Side lengths (a, b, c)
- Angles between planes (α, β, γ)

By definition
- α = angle between b and c
- β = angle between a and c
- γ = angle between a and b

Direction of axes describes a right-handed coordinate system

Determination of unit cell
- As small as possible
- Short lengths of axes (repeating element)
- All angles as close to 90° as possible
2. Structure of Solid State Materials

**Ideal Crystals – Unit Cell**

Characteristics of the unit cell
- Imaginary representation, since the crystal consists of atoms, ions or molecules
- Serves as a simplified description of the periodical building blocks in a crystal

Advantages
- Splits complicated systems into small identical units
- For the description of the structure only a small number of parameters is needed
- Structure determination is limited to the content of the unit cell

Number of unit cells in a crystal of the volume of 1 mm³ (10²¹ Å³)
- NaCl: 10¹⁹ unit cells
- D-xylose-isomerase: 10¹⁵ unit cells
# 2. Structure of Solid State Materials

<table>
<thead>
<tr>
<th>Crystal system</th>
<th>Unit cell</th>
<th>Minimal symmetry requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Triclinic</td>
<td>$\alpha \neq \beta \neq \gamma$</td>
<td>None</td>
</tr>
<tr>
<td></td>
<td>$a \neq b \neq c$</td>
<td></td>
</tr>
<tr>
<td>Monoclinic</td>
<td>$\alpha = \gamma = 90^\circ$, $\beta \neq 90^\circ$</td>
<td>Two-fold axis or a symmetry plane</td>
</tr>
<tr>
<td></td>
<td>$a \neq b \neq c$</td>
<td></td>
</tr>
<tr>
<td>Orthorhombic</td>
<td>$\alpha = \beta = \gamma = 90^\circ$</td>
<td>Combination of three perpendicular two-fold axes or symmetry planes</td>
</tr>
<tr>
<td></td>
<td>$a \neq b \neq c$</td>
<td></td>
</tr>
<tr>
<td>Tetragonal</td>
<td>$\alpha = \beta = \gamma = 90^\circ$</td>
<td>Four-fold rotation axis or a four-fold inversion axis</td>
</tr>
<tr>
<td></td>
<td>$a = b \neq c$</td>
<td></td>
</tr>
<tr>
<td>Trigonal</td>
<td>$\alpha = \beta = \gamma \neq 90^\circ$</td>
<td>One three-fold axis</td>
</tr>
<tr>
<td></td>
<td>$a = b = c$</td>
<td></td>
</tr>
<tr>
<td>Hexagonal</td>
<td>$\alpha = \beta = 90^\circ$</td>
<td>Six-fold rotation axis or a six-fold inversion axis</td>
</tr>
<tr>
<td></td>
<td>$\gamma = 120^\circ$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$a = b \neq c$</td>
<td></td>
</tr>
<tr>
<td>Cubic</td>
<td>$\alpha = \beta = \gamma = 90^\circ$</td>
<td>Four three-fold axes, intersecting under 109.5°</td>
</tr>
<tr>
<td></td>
<td>$a = b = c$</td>
<td></td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystals – Crystal Systems (Primitive Unit Cell)

- Triklin
- Monoklin
- Orthorhombisch
- Tetragonal
- rhomboedrisch / trigonal
- Kubisch
- Hexagonal
2. Structure of Solid State Materials

Ideal Crystals – Primitive and Centred Unit (2D: Elementary Knots) ⇒ Complete Occupation of the Space (2D: Area) without Overlapping
2. Structure of Solid State Materials

Ideal Crystals – Primitive and Centred Unit Cell

- **monoklin**
  - c-flächenzentriert

- **orthorhombisch**
  - c-flächenzentriert
  - innenzentriert
  - flächenzentriert

- **tetragonal**
  - innenzentriert

- **kubisch**
  - innenzentriert
  - flächenzentriert
## 2. Structure of Solid State Materials

### Ideal Crystals – Translational Lattices

<table>
<thead>
<tr>
<th>Crystal system</th>
<th>Allowed lattices</th>
</tr>
</thead>
<tbody>
<tr>
<td>Triclinic</td>
<td>P</td>
</tr>
<tr>
<td>Monoclinic</td>
<td>P, C</td>
</tr>
<tr>
<td>Orthorhombic</td>
<td>P, F, I, A or B or C</td>
</tr>
<tr>
<td>Tetragonal</td>
<td>P, I</td>
</tr>
<tr>
<td>Trigonal</td>
<td>P or R</td>
</tr>
<tr>
<td>(Rhombohedral)</td>
<td></td>
</tr>
<tr>
<td>Hexagonal</td>
<td>P</td>
</tr>
<tr>
<td>Cubic</td>
<td>P, I, F</td>
</tr>
</tbody>
</table>

⇒ 14 Translational or Bravais lattices
2. Structure of Solid State Materials

Ideal Crystals – Translational Lattices (Bravais lattices)

The Bravais lattices represent the 14 possibilities to construct a space by a 3-dimensional periodical arrangement of points.

These translational lattices can be
- primitive (1 lattice point per unit cell) or
- centred (>1 lattice point per unit cell)

There are 7 primitive and 7 centred Bravais lattices.

<table>
<thead>
<tr>
<th>Lattice type</th>
<th>Symbol</th>
<th>Lattice points per unit cell</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primitive</td>
<td>P</td>
<td>1</td>
</tr>
<tr>
<td>Body-centred</td>
<td>I</td>
<td>2</td>
</tr>
<tr>
<td>Basis face-centred</td>
<td>A, B, C</td>
<td>2</td>
</tr>
<tr>
<td>Face-centred</td>
<td>F</td>
<td>4</td>
</tr>
</tbody>
</table>
Ideal Crystals – Symmetry of the Discontinuum

The basic objects of a real crystal are atoms, ions or molecules. These objects are arranged within the crystal lattice. The basis is made up from at least one atom, but can also easily include thousands of atoms, as in a protein crystal.

The crystal lattice is a three dimensional arrangement of (mathematical) points. The smallest unit of the lattice is the unit cell.

Thus, the lattice is created by the translation of the unit cell in all three spatial dimensions. Translational lattices (Bravais lattices) consist of one sort of particles (points).

Introduction of a basis (more complex as simple balls or points)

Further symmetry elements (8): 1 glide plane, 7 screw axes
2. Structure of Solid State Materials

Ideal Crystal - 3-Dimensional Translational Arrangement of Building Blocks

Can be visualized by transmission electron microscopy

Cu-phthalocyanine  Hepadna virus (duck virus)  CdSe quantum dots (5 nm)

⇒ Depiction of the electron density distribution

40 nm
2. Structure of Solid State Materials

Ideal Crystal – Space Groups

The combination of all symmetry elements existing in a crystal, i.e. X, m, i, -X, with all translational symmetry elements leads to 230 combinations in total, and are called space groups.

<table>
<thead>
<tr>
<th>Space group</th>
<th>Symmetry Elements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Centrosymmetric</td>
<td>Translation, screw-axes, mirror planes, inversion centres</td>
</tr>
<tr>
<td>Non-centrosymmetric</td>
<td>Translation, screw-axes, mirror planes</td>
</tr>
<tr>
<td>Chiral</td>
<td>Translation, screw-axes (→ chiral molecules)</td>
</tr>
</tbody>
</table>

General notation
1234 (usually)

Example
Fmmm                      lattice type F-centred     mirror plane perpendicular to a, b and c axis
(La$_2$NiO$_4$)
2. Structure of Solid State Materials

Ideal Crystal – Space Groups

10 Symmetry operations: 1, 2, 3, 4, 6, -1 (= i), -2 (= m), -3, -4, -6

32 crystal classes

Universell translational vectors

14 Translational lattices (Bravais lattices)

230 Space groups

individuel translational vectors

glide planes & screw axes
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

Packing: c.c.p. or h.c.p.
usually of the anions

voids: Tetrahedral or octahedral voids
usually of the cations

For N packed particles

c.c.p.:
(N) Octahedral sites
(2N) Tetrahedral sites

h.c.p.:
(N) Octahedral sites
(2N) Tetrahedral sites
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

Usually, octahedral and tetrahedral sites are only partially occupied. Nonetheless, the level of occupancy determines the structure type.
## 2. Structure of Solid State Materials

### Ideal Crystals – Ionic Structures

<table>
<thead>
<tr>
<th>Anions - Arrangement</th>
<th>$T_+$</th>
<th>$T_-$</th>
<th>O</th>
<th>Structure type</th>
</tr>
</thead>
<tbody>
<tr>
<td>c.c.p. (ABCABC...)</td>
<td>-</td>
<td>-</td>
<td>1</td>
<td>NaCl (common salt)</td>
</tr>
<tr>
<td>1</td>
<td>-</td>
<td>-</td>
<td></td>
<td>ZnS (zinc blende)</td>
</tr>
<tr>
<td>1/8</td>
<td>1/8</td>
<td>1/2</td>
<td></td>
<td>MgAl$_2$O$_4$ (spinel)</td>
</tr>
<tr>
<td>-</td>
<td>-</td>
<td>1/2</td>
<td></td>
<td>CdCl$_2$</td>
</tr>
<tr>
<td>1</td>
<td>-</td>
<td>-</td>
<td></td>
<td>CuFeS$_2$ (chalcopyrite)</td>
</tr>
<tr>
<td>-</td>
<td>-</td>
<td>1/3</td>
<td></td>
<td>CrCl$_3$</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>-</td>
<td></td>
<td>K$_2$O (antifluorite)</td>
</tr>
<tr>
<td>h.c.p. (ABAB...)</td>
<td>-</td>
<td>-</td>
<td>1</td>
<td>NiAs</td>
</tr>
<tr>
<td>1</td>
<td>-</td>
<td>-</td>
<td></td>
<td>ZnS (wurtzite)</td>
</tr>
<tr>
<td>-</td>
<td>-</td>
<td>1/2</td>
<td></td>
<td>CdI$_2$</td>
</tr>
<tr>
<td>-</td>
<td>-</td>
<td>1/2</td>
<td></td>
<td>TiO$_2$ (rutile)</td>
</tr>
<tr>
<td>-</td>
<td>-</td>
<td>1/3</td>
<td></td>
<td>Al$_2$O$_3$ (corundum)</td>
</tr>
<tr>
<td>1/8</td>
<td>1/8</td>
<td>1/2</td>
<td></td>
<td>Mg$_2$SiO$_4$ (olivin)</td>
</tr>
<tr>
<td>1</td>
<td>-</td>
<td>-</td>
<td></td>
<td>$\beta$-Li$_3$PO$_4$</td>
</tr>
<tr>
<td>1/2</td>
<td>1/2</td>
<td>-</td>
<td></td>
<td>$\gamma$-Li$_3$PO$_4$</td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB-Structures – NaCl (kitchen salt)

Cubic close packing of anions

Coordination 6 : 6
N = 4

Site Occupation
O Na⁺
T⁺ empty
T⁻ empty

Examples
• MgO, CaO, SrO, BaO
• TiO, MnO, FeO, CoO, NiO
• LiF, LiCl, LiBr, LiI
• NaF, NaCl, NaBr, NaI
• KF, KCl, KBr, KI
• RbF, RbCl, RbBr, RbI
• AgF, AgCl, AgBr
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB-Structures – CsCl (caesium chloride)

Cubic primitive packing of anions

Coordination 8 : 8
N = 1

Examples
- CsCl, CsBr, CsI, CsCN
- TlCl, TlBr, TlI
- NH₄Cl, NH₄Br
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB-Structures – ZnS (zinc blende or sphalerite)

Cubic close packing of anions

Coordination 4 : 4
N = 4

<table>
<thead>
<tr>
<th>Site</th>
<th>Occupation</th>
</tr>
</thead>
<tbody>
<tr>
<td>O</td>
<td>empty</td>
</tr>
<tr>
<td>T+</td>
<td>Zn$^{2+}$</td>
</tr>
<tr>
<td>T-</td>
<td>leer</td>
</tr>
</tbody>
</table>

Examples
- CdS, CdSe, CdTe
- HgS, HgSe, HgTe
- BN, BP, BAs
- AlP, AlAs, AlSb
- GaP, GaAs, GaSb
- Csp$^3$ (diamond), all positions occupied by C

$S^{2-}$   $Zn^{2+}$
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB-Structures – ZnS (wurtzite)

Hexagonal close packing of anions

Coordination 4 : 4
N = 4

<table>
<thead>
<tr>
<th>Site</th>
<th>Occupation</th>
</tr>
</thead>
<tbody>
<tr>
<td>O</td>
<td>empty</td>
</tr>
<tr>
<td>T⁺</td>
<td>Zn²⁺</td>
</tr>
<tr>
<td>T⁻</td>
<td>empty</td>
</tr>
</tbody>
</table>

Examples
• ZnO, ZnS, ZnSe, ZnTe
• BeO, SiC
• CdS, CdSe
• MnS
• AlN, GaN, InN
### 2. Structure of Solid State Materials

#### Ideal Crystals – Influence of the Ionic Radii

The highest possible coordination number depends on the ratio of the ionic radii $r_{\text{Cation}} / r_{\text{Anion}}$ (comparable to coordination chemistry).

<table>
<thead>
<tr>
<th>Ratio</th>
<th>CN</th>
<th>Geometry</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>12</td>
<td>Cubeoctahedron</td>
</tr>
<tr>
<td>0.732 - 0.999</td>
<td>8</td>
<td>Cube</td>
</tr>
<tr>
<td>0.414 - 0.732</td>
<td>6</td>
<td>Octahedron</td>
</tr>
<tr>
<td>0.225 - 0.414</td>
<td>4</td>
<td>Tetrahedron</td>
</tr>
</tbody>
</table>

$r_{\text{Cation}} / r_{\text{Anion}} = 1$ is found in many metallic crystals and in some ionic crystals with extremely large cations, i.e. Cs⁺.
2. Structure of Solid State Materials

Ideal Crystals – For $r_C/r_A > 0.732$ Caesium Chloride Structure Occurs

<table>
<thead>
<tr>
<th>Anions do not have contact</th>
<th>Anions do have contact</th>
<th>Anions can not get closer to the cation</th>
</tr>
</thead>
<tbody>
<tr>
<td>$r_C/r_A = 1$</td>
<td>$(r_C + r_A)/r_A = \sqrt{3}/1$</td>
<td>$r_C/r_A &lt; 0.732$</td>
</tr>
</tbody>
</table>

Example

<table>
<thead>
<tr>
<th>Example</th>
<th>$r_C/r_A$</th>
</tr>
</thead>
<tbody>
<tr>
<td>CsCl</td>
<td>0.94</td>
</tr>
<tr>
<td>CsBr</td>
<td>0.87</td>
</tr>
<tr>
<td>TlCl</td>
<td>0.83</td>
</tr>
<tr>
<td>CsI</td>
<td>0.79</td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystals – For $0.414 < \frac{r_C}{r_A} < 0.732$ Cations Are Coordinated Octahedrally

The structure type depends on the packing of the anions

<table>
<thead>
<tr>
<th>Anion packing</th>
<th>hexagonal close</th>
<th>cubic close</th>
</tr>
</thead>
<tbody>
<tr>
<td>Layer sequence</td>
<td>ABABAB</td>
<td>ABCABCABC</td>
</tr>
<tr>
<td>Structure type</td>
<td>NiAs-type</td>
<td>NaCl-type</td>
</tr>
<tr>
<td>Example</td>
<td>$\frac{r_C}{r_A}$</td>
<td></td>
</tr>
<tr>
<td>KBr</td>
<td>0.71</td>
<td></td>
</tr>
<tr>
<td>KI</td>
<td>0.64</td>
<td></td>
</tr>
<tr>
<td>NaCl</td>
<td>0.56</td>
<td></td>
</tr>
<tr>
<td>NaBr</td>
<td>0.52</td>
<td></td>
</tr>
<tr>
<td>NaI</td>
<td>0.47</td>
<td></td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystals – For $r_C/r_A < 0.414$ Cations Are Coordinated Tetrahedrally

Again, the structure type depends on the packing of the anions

<table>
<thead>
<tr>
<th>Anion packing</th>
<th>cubic close</th>
<th>hexagonal close</th>
</tr>
</thead>
<tbody>
<tr>
<td>Layer sequence</td>
<td>ABCABCABC</td>
<td>ABABAB</td>
</tr>
<tr>
<td>Structure type</td>
<td>Zinc blende-type</td>
<td>Wurtzite-type</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Example</th>
<th>$r_C/r_A$</th>
</tr>
</thead>
<tbody>
<tr>
<td>BeO</td>
<td>0.25</td>
</tr>
<tr>
<td>BeS</td>
<td>0.19</td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB$_2$-Structures – CaF$_2$ (Flussspat or fluorite)

Cubic close packing of cations

Coordination 8 : 4
N = 4

Site | Occupancy
---|---
O | empty
T$_+$ | F$^-$
T$_-$ | F$^-$

Example
• CaF$_2$, SrF$_2$, BaF$_2$
• CrCl$_2$, BaCl$_2$, SrBr$_2$
• Li$_2$O, Li$_2$S, Li$_2$Se, Li$_2$Te

2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

Properties of CaF$_2$ (Flussspat or fluorite)

- Extremely high band gap $\sim 11.0$ eV (115 nm) and low phonon frequencies
  $\Rightarrow$ broad optical window $\Rightarrow$ material for optical lenses (spectroscopy)

- Fluorides are prone to the formation of point defects (colour centres + luminescence)

\[\begin{array}{cc}
\text{Transmission(\%)} & \\
\text{Wavelength(nm)} & 100 \quad 80 \quad 60 \quad 40 \quad 20 \\
100 & 500 & 1000 & 2000 & 5000 & 10000 \\
\end{array}\]

no UV  
under UV
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB₂-Structures – TiO₂ (rutile)

Distorted hexagonal close packing of anions

Coordination 6 : 3
N = 2

Site   | Occupancy
--- | ---
O     | ½ Ti⁴⁺
T⁺    | empty
T⁻    | empty

Examples
• GeO₂, SnO₂, PbO₂
• MgF₂, MnF₂, FeF₂, CoF₂, NiF₂, ZnF₂, PdF₂
• TiO₂, CrO₂, β-MnO₂, NbO₂, TaO₂, MoO₂, WO₂
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

Polymorphism of TiO₂ (anatase and brookite are metastable)

Anatase (tetragonal)  Rutile (tetragonal)  Brookite (orthorhombic)

4 mutual edges  2 mutual edges  3 mutual edges
Ideal Crystals – Ionic Structures

Properties of TiO\(_2\) (rutile and anatase)

Low band gap $\sim 3.2$ eV (390 nm, rutile) and $3.5$ eV (360 nm, anatase), highly covalent character and strong interactions with light

$\Rightarrow$ UV-absorber
$\Rightarrow$ Photo-catalytically active
$\Rightarrow$ High refractive index (2.5 – 2.8)

Applications of rutile and anatase

$\Rightarrow$ White pigment
$\Rightarrow$ Solar cells (Grätzel)
$\Rightarrow$ UV-protection additives
$\Rightarrow$ Photo reactors (purification of water)
$\Rightarrow$ Polymer additives
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

AB₂-Structures – CdI₂ (cadmium iodide)

Hexagonal close packing of anions

Coordination 6 : 3
N = 3

<table>
<thead>
<tr>
<th>Layer</th>
<th>Occupancy</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>I⁻</td>
</tr>
<tr>
<td>B</td>
<td>Cd²⁺</td>
</tr>
<tr>
<td>C</td>
<td>I⁻</td>
</tr>
</tbody>
</table>

Examples
- CdI₂, MgI₂, CaI₂, TiI₂, VI₂, MnI₂, FeI₂, ZnI₂
- TiBr₂, VBr₂, MnBr₂, FeBr₂, CoBr₂
- Mg(OH)₂, Ca(OH)₂, Fe(OH)₂, Co(OH)₂, Ni(OH)₂
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

**AB₂-Structures – SiO₂ (β-cristobalite)**

Can be derived formally from cubic close packing (Si occupies Zn²⁺ and S²⁻ positions of zinc blende structure)

**Coordination 4 : 2**

**N = 8**

**Examples**
- BeF₂
- SiO₂

β-cristobalite is a modification of SiO₂ as α-quartz, β-quartz and β-tridymite
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

A$_2$B$_3$-Structures – α-Al$_2$O$_3$ (corundum)

Distorted hexagonal close packing of anions

Coordination 6 : 4
N = 4

Al$^{3+}$ is distorted octahedrally coordinate!

<table>
<thead>
<tr>
<th>Site</th>
<th>Occupancy</th>
<th>Site Occupancy</th>
</tr>
</thead>
<tbody>
<tr>
<td>O</td>
<td>2/3 Al$^{3+}$</td>
<td>O 2/3 Al$^{3+}$</td>
</tr>
<tr>
<td>T$_+$</td>
<td>empty</td>
<td>T+ empty</td>
</tr>
<tr>
<td>T$_-$</td>
<td>empty</td>
<td>T- empty</td>
</tr>
</tbody>
</table>

Examples

- α-Al$_2$O$_3$, α-Ga$_2$O$_3$
- Ti$_2$O$_3$, V$_2$O$_3$, Cr$_2$O$_3$, α-Fe$_2$O$_3$
- Rh$_2$O$_3$
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

$A_2B_3$-Structures – polymorphism of $\text{Fe}_2\text{O}_3$

$\alpha$-$\text{Fe}_2\text{O}_3$ (trigonal, corundum)  

$\gamma$-$\text{Fe}_2\text{O}_3$ (cubic, metastable)

Anti-ferromagnetic  

Ferromagnetic
2. Structure of Solid State Materials

Ideal Crystals – Ionic Structures

ABX₃–Structures – CaTiO₃ (perowskit)

Cubic close packing of A- and X-ions with the ratio of 1:3 and B-ions occupying one fourth of the octahedra sites. Alternative description: corner-connected TiO₆-octahedra, where Me²⁺ is twelve-fold coordinate

Coordination 12 : 6 : 2
N = 1

Example
- CaTiO₃, SrTiO₃, BaTiO₃, PbTiO₃
- KIO₃
- LaVO₃, LaCrO₃, LaFeO₃, LaCoO₃
Ideal Crystals – Ionic Structures

Properties of CaTiO$_3$

Small band gap und high polarizability of the octahedrally coordinate B-ions

⇒ External electrical fields induce a dipole moment by shifting the cations
⇒ Ferroelectric ceramics made from Ba$_{1-x}$Ca$_x$Ti$_{1-y}$Zr$_y$O$_3$ show the highest permittivity values ($\varepsilon_r$ up to 7000), for comparison: H$_2$O $\varepsilon_r = 78$

Applications in
⇒ Capacitors
⇒ Membranes (Speakers)
⇒ Sensors (microphones)
⇒ Micro nozzles (inkjet printer)
2. Structure of Solid State Materials

**Ideal Crystal – Ionic Structures**

**AB$_2$X$_4$-Structures – MgAl$_2$O$_4$ (spinel)**

Cubic close packing of anions

Coordination $4 : 6 : 4$

$N = 8$

<table>
<thead>
<tr>
<th>Site</th>
<th>Occupancy</th>
</tr>
</thead>
<tbody>
<tr>
<td>O</td>
<td>1/2</td>
</tr>
<tr>
<td>T$^+$</td>
<td>1/8</td>
</tr>
<tr>
<td>T$^-$</td>
<td>1/8</td>
</tr>
</tbody>
</table>

**Examples**

MgAl$_2$O$_4$, MnAl$_2$O$_4$, FeAl$_2$O$_4$, CoAl$_2$O$_4$

CuCr$_2$S$_4$, CuCr$_2$Se$_4$, CuCr$_2$Te$_4$

MgIn$_2$O$_4$, MgIn$_2$S$_4$

---

![Diagram of MgAl$_2$O$_4$ spinel structure](image-url)
### 2. Structure of Solid State Materials

#### Ideal Crystal – Ionic Structures

**AB$_2$X$_4$–Structures – MgAl$_2$O$_4$ (spinel)**

<table>
<thead>
<tr>
<th>Ordinary spinels</th>
<th>Inverse spinels</th>
<th>Mixtures</th>
</tr>
</thead>
<tbody>
<tr>
<td>$[\text{A}]<em>{\text{tet}}[\text{B}]</em>{\text{oct}} \text{O}_4$</td>
<td>$[\text{B}]<em>{\text{tet}}[\text{A,B}]</em>{\text{oct}} \text{O}_4$</td>
<td>$[\text{B}<em>x \text{A}</em>{1-x}]<em>{\text{tet}}[\text{A}<em>x \text{B}</em>{1-x}]</em>{\text{oct}} \text{O}_4$</td>
</tr>
<tr>
<td>$\gamma = 0.0$</td>
<td>$\gamma = 1.0$</td>
<td>$\gamma = x$ with $0.0 &lt; x &lt; 1.0$</td>
</tr>
</tbody>
</table>

**Examples:**
- MgAl$_2$O$_4$
- CoAl$_2$O$_4$
- FeAl$_2$O$_4$
- CoCo$_2$O$_4$ (= Co$_3$O$_4$)
- MnMn$_2$O$_4$ (= Mn$_3$O$_4$)
- MgFe$_2$O$_4$
- FeFe$_2$O$_4$ (= Fe$_3$O$_4$)
- NiFe$_2$O$_4$
- CoFe$_2$O$_4$
- NiAl$_2$O$_4$
- MnFe$_2$O$_4$

**Influence upon $\gamma$ (occupancy parameters of B$^{3+}$-ions on tetrahedral sites):**
- Ionic radius
- Coulomb energy
- Covalent character
- Crystal field stabilisation energy
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Properties of spinels

Spinels are extremely hard (high lattice energy!), exhibit isotropic physical properties (cubic structure), and show - analogous to many transition metals - distinct ferroelectricity (unpaired electrons) and ferro-, ferri- or anti-ferromagnetism

Ferrimagnetics: $\text{Fe}_3\text{O}_4$ magnetite
Ferroelectrics: $\text{M}^{2+}\text{Fe}_2\text{O}_4$ ferrite

Prerequisites for good ferroelectrics
As high permeability as possible in combination with low coercivity = max. induction by min. magnetic field strength, e.g. write/read head in audio and video recorders or transformer and coil cores.
Are met by cubic soft ferrites, because they are electrically isolating (suppression of eddy currents), ferrimagnetic with low saturation magnetisations but low crystallographic anisotropy (cubic symmetry) at the same time.
Typical composition: Fe-Mn-Zn-oxide comprising 70% Fe, 25% Mn, 5% Zn
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Garnet $\text{C}^{II}_3\text{A}^{III}_2(\text{Si}^{IV}\text{O}_4)_3$

Isle silicates (neso silicates) with
$[\text{SiO}_4]^{4-}$ or $[\text{TO}_4]^{4-}$-groups
Cubic structure type – space group Ia3d (#230)

Coordination of cations
C = 8 Dodecahedral site
A = 6 Octahedral site
T = 4 Tetrahedral site
Z = 8 160 atoms in unit cell!

<table>
<thead>
<tr>
<th>Mineral</th>
<th>Composition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pyrop</td>
<td>$\text{Mg}_3\text{Al}_2\text{Si}<em>3\text{O}</em>{12}$</td>
</tr>
<tr>
<td>Grossular</td>
<td>$\text{Ca}_3\text{Al}_2\text{Si}<em>3\text{O}</em>{12}$</td>
</tr>
<tr>
<td>Almandine</td>
<td>$\text{Fe}_3\text{Al}_2\text{Si}<em>3\text{O}</em>{12}$</td>
</tr>
<tr>
<td>Spessartin</td>
<td>$\text{Mn}_3\text{Al}_2\text{Si}<em>3\text{O}</em>{12}$</td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Garnet variations $C_{\text{III}}^{\text{II}}A_{\text{III}}^{\text{II}}(\text{Si}_{\text{IV}}^{\text{IV}}O_4)_3$

$\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$ (Grossular) → $(\text{Ca}_{1-a}\text{Y}_a)\text{Al}_2(\text{Si}_{1-a}\text{Al}_a)\text{O}_{12}$ → $\text{Y}_3\text{Al}_2\text{Al}_3\text{O}_{12}$ (YAG)

$\text{Ca}_3\text{Fe}_2\text{Si}_3\text{O}_{12}$ (Andradite) → $(\text{Ca}_{1-a}\text{Y}_a)\text{Fe}_2(\text{Si}_{1-a}\text{Fe}_a)\text{O}_{12}$ → $\text{Y}_3\text{Fe}_2\text{Fe}_3\text{O}_{12}$ (YIG)

$\text{Ca}_3\text{Cr}_2\text{Si}_3\text{O}_{12}$ (Uwarowit)

$\text{Y}_3\text{Al}_5\text{O}_{12}$ (YAG) → $(\text{Y}_{1-x}\text{M}^a_x)\text{Al}_5\text{O}_{12}$ $\text{M}^a = \text{Ce}, \text{Pr}, \text{Nd}, \text{Sm}, \text{Eu}$ (limited)
→ $\text{Y}_3(\text{Al}_{1-x}\text{M}^b_x)\text{O}_{12}$ $\text{M}^b = \text{Ga}, \text{Sc}$
→ $(\text{Y}_{1-x}\text{M}^a_x)\text{Al}_5\text{O}_{12}$
→ $\text{Y}_3(\text{Al}_{1-x}\text{M}^c_{x/2}\text{M}^d_{x/2})\text{O}_{12}$ $\text{M}^c = \text{Si}, \text{M}^d = \text{Mg}$
→ $\text{Y}_3(\text{Al}_{1-x}\text{M}^e_x)\text{O}_{12-x}\text{N}_x$ $\text{M}^e = \text{Si}$
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Physical properties of $\text{Y}_3\text{Al}_5\text{O}_{12}$

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Density</td>
<td>$\rho = 4.55 \text{ g/cm}^3$</td>
</tr>
<tr>
<td>Thermal extension coefficient</td>
<td>$\alpha = 6.5 \times 10^{-6} \text{ K}^{-1}$</td>
</tr>
<tr>
<td>Melting point</td>
<td>$T_m = 1970 \text{ °C}$</td>
</tr>
<tr>
<td>Refractive index at 589.3 nm</td>
<td>$n_D = 1.82$</td>
</tr>
<tr>
<td>Hardness acc. to Mohs</td>
<td>8.5</td>
</tr>
<tr>
<td>Thermal conductivity</td>
<td>14 W/mK at 20 °C</td>
</tr>
<tr>
<td>Optical band gap</td>
<td>$E_G = 7.0 \text{ eV}$</td>
</tr>
<tr>
<td>Body colour</td>
<td>white (colourless)</td>
</tr>
<tr>
<td>Exciton luminescence</td>
<td>~ 300 nm</td>
</tr>
<tr>
<td>(Electron-hole pair recombination)</td>
<td></td>
</tr>
</tbody>
</table>

Typical impurities (dopants)

- Y-site (dodecahedral): $\text{Eu}^{3+}$, $\text{Gd}^{3+}$
- Al-site (octahedral): $\text{Cr}^{3+}$, $\text{Fe}^{3+}$
- Al-site (tetrahedral): $\text{Si}^{4+}$, $\text{Mn}^{4+}$
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Physical properties of $\text{Y}_3\text{Al}_5\text{O}_{12}$

Absorption spectrum of YAG after calcination in air for several days

Conduction band

$E_g \sim 7.0 \text{ eV}$

Valence band
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Optical properties of $Y_3\text{Al}_5\text{O}_{12}$

- High optical band gap
- High refractive index ($n_D = 1.82$)
- Low maximal phonon frequency

$Y_3\text{Al}_5\text{O}_{12} \cdot \text{Ce}^{3+}$ (0.5 – 3.0%)

- Extremely high crystal field splitting for the excited state ~ 28000 cm$^{-1}$ (3.5 eV)
- $\rightarrow$ dodecahedral coordination of Ce$^{3+}$
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

β-Alumina–structures – $\text{MAl}_{11}\text{O}_{17} = \text{M}_2\text{O} \cdot \text{nAl}_2\text{O}_3$

Layer structures
A  Spinel units  “$\text{Al}_{11}\text{O}_{16}$”
B  Intermediate layers  “M-O”  
   with $\text{M} = \text{Na, K, Rb, Cu, Ag, In, Tl}$

Hexagonal close packing of anions 
in spinel units
High ionic mobility of the cations $\text{M}^+$ within 
the intermediate layers  $\rightarrow$  ionic conductor (cations)

β-Alumina-variations

$\text{NaAl}_{11}\text{O}_{17} \rightarrow (\text{Na}_{1-x}\text{Ba}_x)\text{Mg}_x\text{Al}_{11-x}\text{O}_{17} \rightarrow \text{BaMgAl}_{10}\text{O}_{17}$

$\text{NaAl}_{11}\text{O}_{17} \rightarrow (\text{Na}_{1-x}\text{La}_x)\text{Mg}_x\text{Al}_{11}\text{O}_{17+2x} \rightarrow \text{LaMgAl}_{11}\text{O}_{19}$ (magnetoplumbit structure!)
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

β-Alumina–structures – NaAl_{11}O_{17}

Exp.: BaMgAl_{10}O_{17}

\[ \text{Ba}^{2+} \text{ exhibits nine-fold coordination (triply capped trigonal prism } \Rightarrow D_{3h} \)
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

β-Alumina–structures – NaAl\(_{11}\)O\(_{17}\)

Stability of β-alumina phase

Only the biggest cations stabilise the β-alumina structure
Ideal Crystal – Ionic Structures

Properties of β-alumina-structures

Very high ionic conductivity, high optical band gap, fissility, anisotropic refraction

Application as
- Solid electrolyte in batteries
- Phosphor in gas discharge lamps (fluorescence lamps and PDP’s)

\[ \text{BaMgAl}_{10}\text{O}_{17} \rightarrow (\text{Ba}_{1-x}\text{Eu}_x)\text{MgAl}_{10}\text{O}_{17} \rightarrow \text{blue} \]

\[ \text{BaMgAl}_{10}\text{O}_{17} \rightarrow \text{Ba(Mg}_{1-y}\text{Mn}_y)\text{Al}_{10}\text{O}_{17} \rightarrow \text{green} \]

\[ \text{BaMgAl}_{10}\text{O}_{17} \rightarrow \text{BaMg(Al}_{10-z}\text{Cr}_z)\text{O}_{17} \rightarrow \text{deep red} \]

\[ \text{BaMgAl}_{10}\text{O}_{17} \rightarrow (\text{Ba}_{1-x}\text{Eu}_x)(\text{Mg}_{1-y}\text{Mn}_y)\text{Al}_{10}\text{O}_{17} \rightarrow \text{cyan} \]
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Phosphors with β-alumina structure

**BaMgAl$_{10}$O$_{17}$:**
- **Cr$^{3+}$:** Excitation at 190 nm, $\lambda_{\text{max}}$ at 694 nm, $x = 0.596$, $y = 0.257$, Transition 3d$^3$-3d$^3$
- **Mn$^{2+}$:** Excitation at 180 nm, $\lambda_{\text{max}}$ at 515 nm, $x = 0.146$, $y = 0.722$, Transition 3d$^5$-3d$^5$
Eu$^{2+}$ is incorporated on Ba$^{2+}$-sites and there absorbs the incoming UV-radiation → allowed [Xe]4f$^7$ – [Xe]4f$^6$5d$^1$-interconfigurational transition

Energy transfer from Eu$^{2+}$ to Mn$^{2+}$, whereby the efficiency of the energy transfer depends on the Mn$^{2+}$-concentration and thus the average distance between Eu$^{2+}$ - Mn$^{2+}$ → Mn$^{2+}$ emission [Ar]3d$^5$ – [Ar]3d$^5$-intraconfigurational transition
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

Phosphors with β-alumina-structure: energy migration after excitation with UV-radiation

Host structure \(\rightarrow\) Eu\(^{2+}\)([Xe]4f\(^6\)5d\(^1\)) \(\rightarrow\) ET \(\rightarrow\) Mn\(^{2+}\)([Ar]3d\(^5\)*)

\(\text{Sensitizer for Mn}^{2+}\) luminescence

\(E_g = 7.0\) eV (< 180 nm)

CB

170 nm
4f\(^6\)5d\(^1\)
250 nm
310 nm
4f\(^7\)(8S\(_{7/2}\))

VB

Eu\(^{2+}\)

450 nm
515 nm

Mn\(^{2+}\)

3d\(^5\)

3d\(^5\)*

515 nm

310 nm

ET
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

ABX$_3$–structures – CaCO$_3$ (calcite)

Trigonal crystal system

$Z = 6$

$\rho = 2.71 \text{ g/cm}^3$

Thermodynamically most stable form of calcium carbonate

Examples

CaCO$_3$, CoCO$_3$
ScBO$_3$, FeBO$_3$, InBO$_3$
LuBO$_3$, YBO$_3$ (high temperature modification)
2. Structure of Solid State Materials

**Ideal Crystal – Ionic Structures**

**ABX$_3$–structures – CaCO$_3$**

**Polymorphism**

<table>
<thead>
<tr>
<th></th>
<th>Z</th>
<th>$\rho$ [g/cm$^3$]</th>
<th>Crystal System</th>
</tr>
</thead>
<tbody>
<tr>
<td>Calcite</td>
<td>6</td>
<td>2.71</td>
<td>trigonal</td>
</tr>
<tr>
<td>Vaterite</td>
<td>2</td>
<td>2.65</td>
<td>hexagonal</td>
</tr>
<tr>
<td>Aragonite</td>
<td>4</td>
<td>2.93</td>
<td>orthorhombic</td>
</tr>
</tbody>
</table>

**Biomineralisation of CaCO$_3$**

- Amorphous: CaCO$_3$-storage in organisms
- Calcite: othololiths
- Aragonite: coccoliths (calcareous shells) in foraminiferes
- Calcite/aragonite/chitin/protein as composite:
  - nacre (seashell, pearl)
- Mn$^{2+}$ luminescence: calcite 610 nm
  - aragonite 560 nm (stronger crystal field)
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

ABX₃–structures – YBO₃

Polymorphism

<table>
<thead>
<tr>
<th></th>
<th>Z</th>
<th>ρ [g/cm³]</th>
<th>Crystal system</th>
<th>Anion</th>
<th>CN (Y³⁺)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Calcite (ht-type)</td>
<td>6</td>
<td>6.86</td>
<td>trigonal</td>
<td>[BO₃]³⁻ units</td>
<td>6</td>
</tr>
<tr>
<td>“YBO₃” (lt-type)</td>
<td>2</td>
<td>7.40</td>
<td>hexagonal</td>
<td>[B₃O₉]⁹⁻ rings</td>
<td>8</td>
</tr>
</tbody>
</table>

ΔₜH° = 12.1 kJ/mol

Eₐ = 1386 kJ/mol  lt → ht transition

Eₐ = 568 kJ/mol  ht → lt transition

2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

ABX₃–structures – YBO₃

Calcite-type ([BO₃]³⁻ units) “YBO₃”- or lt-type ([B₃O₉]⁹⁻ rings)
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

ABX₃–structures – YBO₃

Eu³⁺ activated phosphors, e.g. LnBO₃:Eu (Ln = Y, In, Gd, Lu)

- The emission spectrum is dominated by a intraconfigurational transition: [Xe]4f⁶(⁵D₀) → [Xe]4f⁶(⁷F₉)
  ⇒ several narrow lines between 585 and 710 nm (orange - red)

- Rel. intensity of emission lines = f(symmetry, covalence)
  Inversion symmetry: ⁵D₀ → ⁷F₁ strongest
  No inversion symmetry: ⁵D₀ → ⁷F₂ strongest
  Strong ionic character: ⁵D₀ → ⁷F₄ weak (borates, phosphates)
  Strong covalent character: ⁵D₀ → ⁷F₄ strong (aluminates, vanadates, sulphides)
2. Structure of Solid State Materials

Ideal Crystal – Ionic Structures

ABX₃–structures – YBO₃

Calcite- or **ht-type**
Y³⁺ on octahedral sites

“YBO₃“- or **lt-type**
Y³⁺ on dodecahedral sites, where Y₁ is not distorted and Y₂ is

![Emission and Excitation Spectra](image-url)
2. Structure of Solid State Materials

Real Crystals – Definition

Real crystal = ideal crystal (mathematical ideal, incl. vibrations + elastic deformations) + defects (violation of symmetry)

Defects = intrinsic defects and extrinsic defects (dopants)

0-dimensional defects (point defects)
Violation of symmetry on atomic scale

1-dimensional defects (line defects)
Violation of symmetry along a line

2-dimensional defects (area defects)
Symmetry violation for every point lying upon an area

3-dimensional defects (spatial defects)
Any point in a given volume exhibits different symmetry
2. Structure of Solid State Materials

Real Crystals – 0-dimensional (Point Defects)

Intrinsic defects (construction errors)
- Vacancy (“V”)
- Self-interstital (“i”)

In ionic crystals, intrinsic defects lead to relative charges, that must be compensated

a) By a electron ⇒ colour centre
   Exp.: SiO₂
b) By 2ⁿᵈ defect with opposite charge
   ⇒ Schottky-defect
   Exp.: BeO, MgO, MX
c) By a ion with matching charge on a interstitial
   ⇒ Frenkel-defect
   Exp.: AgCl, AgBr, CaF₂
2. Structure of Solid State Materials

Real Crystals – 0-dim. (Point Defects)

Extrinsic defects (dopants)
- Substitutional impurity atom
  → only if charge and size match
- Interstitial impurity atom
  often in cases of small cations

Physical effects of atomic vacancies (point defects)
- Colour
- Luminescence
- Magnetism
- Electrical conductivity
- Diffusion processes through a swap of positions are important for solid state chemistry and solid electrolytes
2. Structure of Solid State Materials

Real Crystals – 0-dim. (Point Defects)

Diffusion processes through positional exchange using the example of silver chloride

Formation of Frenkel-defects

\[
\text{AgCl} \rightarrow \text{Ag}_{1-x}V_{\text{Ag}}\text{Ag}_x\text{Cl} \quad \text{(defect equation)}
\]

→ Cation migration via interstitials \(i\)

→ Ionic conductivity in crystals
Real Crystals – 0-dim. (Point Defects)

Kröger-Vink-notation using the example of a NaCl-crystal

\[ K^x_K \quad A^x_A \quad Cations \ or \ anions \ on \ correct \ lattice \ positions. \]
\[ \text{Neutral against the ideal lattice (}^x\text{)} \]

\[ \text{Na}^x_{Na} \quad \text{Cl}^x_{Cl} \]

\[ V_K \quad V^*_A \quad \text{Cation \ or \ anion \ vacancies \ with \ an \ effective \ charge \ of \ } 1- (\cdot) \ or \ 1+ (\circ) \]

\[ V^x_{Na} \quad V^*_{Cl} \]
2. Structure of Solid State Materials

Real Crystals – 0-dim. (Point Defects)

Kröger-Vink-notation using the example of a NaCl-crystal

\[ \begin{align*}
K^\ast_i & \quad \text{Interstitial cation with a effective charge of 1\textsuperscript{+} (\ast)} \\
A^\ast \_i & \quad \text{Interstitial anion with a effective charge of 1\textsuperscript{-} (\ast)} \\
Ca^\ast \_Na & \quad \text{Substituted Ca-cation (2\textsuperscript{+}) on Na-site with a effective charge of 1\textsuperscript{+} (\ast)} \\
Br^\ast \_Cl & \quad \text{Substituted Br-anion (2\textsuperscript{+}) on Cl-site with a effective charge of 0 (\ast)} \\
e^\ast & \quad \text{electron} \\
h^\ast & \quad \text{hole}
\end{align*} \]
2. Structure of Solid State Materials

Real Crystals – 1-dim. (Line Defects)

Dislocations are the only one dimensional defects in crystals

Dislocations are responsible for the plastic ductility (sliding) of crystalline materials, and thus for the mechanical properties of all metals in particular.
2. Structure of Solid State Materials

Real Crystals – 1-dim. (Line Defects)

Dislocations confine the single crystalline areas in polycrystalline ceramics and thus influence the physical properties, such as conductivity and quantum efficiency of phosphors, e.g. \( \text{Y}_2\text{O}_3:\text{Eu} \) (cubic bixbyite structure)

Dislocation density in real crystals
Dislocation-free silicon for semiconductors \( r = 0 \text{ cm}^{-2} \)
"Good" single crystals for laboratories: \( r \sim (10^3 - 10^5) \text{ cm}^{-2} \)

Normal crystals including polycrystalline materials: \( r \sim (10^5 - 10^9) \text{ cm}^{-2} \)

Highly deformed crystals: \( r \) up to \( 10^{12} \text{ cm}^{-2} \)

HR-TEM image of a \( \text{Y}_2\text{O}_3 \) crystal
2. Structure of Solid State Materials

Real Crystals – 2-dim. (Area Defects)

Area defects are loosely defined as all sorts of interfaces between two bodies (particles, crystallites)
⇒ Phase boundaries: Interface between two different bodies (phases)
⇒ Grain boundaries: Interface between identical but arbitrarily oriented crystals
⇒ Stacking faults: Interface between two identical and specifically oriented crystals
⇒ Surfaces → surface energy $\gamma$ [J/cm$^2$] → f(particle size)

The surface energy is a measure for the reactivity of the surface and is responsible for the different behaviour of nano- and macro-crystals in terms of their thermodynamic properties, i.e. melting point

<table>
<thead>
<tr>
<th>Material</th>
<th>$\gamma$ [mJ/cm$^2$]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Glass</td>
<td>300</td>
</tr>
<tr>
<td>Fe</td>
<td>700</td>
</tr>
<tr>
<td>W</td>
<td>1450</td>
</tr>
</tbody>
</table>
2. Structure of Solid State Materials

Real Crystals– 2-dim. (Area Defects)

Surfaces: The interface is not sharply defined, since the surface can be modified by chemical processes, such as oxidation.

Stacking faults
Occur in cubic face-centred structures for example

Normal stacking sequence:
ABCABCABCABC

With stacking fault:
ABCABCACABC

Leads to the formation of grain boundaries
2. Structure of Solid State Materials

Real Crystals - 3-dim. (Spatial Defects)

- **Voids**
  - Filled by vacuum or gas (gas bubble)

- **Micro cracks**
  - Are treated as 2-dimensional defects

- **Precipitations**
  - Completely different phase, fully embedded within the matrix of the crystal (filled voids)
  - Examples:
    - SiO$_2$-particles in Si
    - CuAl$_2$ in Al
    - C (graphite) in cast iron

For comparison \( \times 10^{28} \)
2. Structure of Solid State Materials

Phases and Phase Transitions

**Phase:** Homogeneous material system in a well defined thermodynamic state

The macroscopically observable phase state, i.e. for a one-component system, the states of aggregation solid (s), liquid (l) and gaseous (g), is a function of independent state variables, namely temperature \( T \) and pressure \( P \).

For a two- or multi-component system, the phase state is additionally dependent on the composition \( x \), whereby the solid phase can “freeze out” at a variety of different compositions.

Additionally, a given composition can exist in different crystal structures (polymorphism).

The phase state has an impact on dependent state variables (functions), such as \( V \), \( U \), \( H \), \( S \), \( F \), \( G \), polarisation, magnetisation, electrical resistance, ferroelectricity, etc.
2. Structure of Solid State Materials

Phases and Phase Transitions

Phase Transitions

Upon a change of one of the independent variables (p, T, x), a non-differentiable point occurs in at least one of the state functions, e.g. G(p,T)

Phase transitions of the first order show a discontinuity in the first derivation of the state functions

- Melting of Hg(s) at –39 °C
- Vaporisation of NH₃(l) at –33 °C
- Sublimation of CO₂(s) at -78 °C
2. Structure of Solid State Materials

Phases and Phase Transitions

Phase Transitions

Upon a change of one of the independent variables (p, T, x), a non-differentiable point occurs in at least one of the state functions, e.g. G(p,T)

Phase transitions of the second order show a discontinuity in the second derivation of the state functions

- Glass transition of polystyrene at ca. 100 °C
- Transition to superconducting solid phase of metals (4.15 K for Hg)
2. Structure of Solid State Materials

Phase Diagrams of Aggregate States: pT-Diagrams

Phase diagram of CO₂

Phase diagram of H₂O

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2. Structure of Solid State Materials

Phase Diagrams – Solid Solutions

Complete miscibility in solid state

Prerequisites
1. The pure materials must crystallise in the same crystal structure (isotypic)
2. The (an-) cations should be of comparable size
3. The individual components of a solid solution should exhibit similar chemical behaviour

Example
System Ag-Au
2. Structure of Solid State Materials

Phase Diagrams – Solid Solutions

Limited miscibility in solid state

Example

System Cu-Ag

Solubility

- 15 atom percent Cu in Ag
- 5 atom percent Ag in Cu
2. Structure of Solid State Materials

Phase Diagrams – Solid Solutions

No miscibility

Example
System Al₂O₃-CaO
(important for concrete)

Formation of following compounds

- CaAl₁₂O₁₉: hexagonal
- CaAl₄O₇: monoclinic
- CaAl₂O₄: monoclinic
- Ca₁₂Al₁₄O₃₃: cubic
- Ca₃Al₂O₆: cubic

Alkalinity